

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

George Usher

Lifting and Moving System

ER815909783US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 13)
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 1)
5. Oath or Declaration (Total Sheets 2)
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)

- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper

- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 60/451,168

Prior application information:

Examiner

Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number: OR ☒ Correspondence address below

| | | | | | |
|-------------------|---------------------|-----------|-----------------------------------|----------|-------|
| Name | George Usher | | | | |
| Address | 1707 Villa Court | | | | |
| City | Corinth | State | Texas | Zip Code | 76210 |
| Country | USA | Telephone | (940) 321-3994 | Fax | |
| Name (Print/Type) | George Usher | | Registration No. (Attorney/Agent) | | |
| Signature | <i>George Usher</i> | | Date | 2-27-04 | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-6199 and select option 2.

17497 U.S. PTO
10/789541

022704

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**\$385.00**

Complete if Known

| | |
|----------------------|--------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | George Usher |
| Examiner Name | |
| Art Unit | |
| Attorney/Agent No. | |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit
Account
Number
Deposit
Account
Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------------------|
| 1001 770 | 2001 365 | Utility filing fee | \$385.00 |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 365 | Restripe filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) \$385.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent | -30** = | X | |
| Multiple Dependent | -5** = | X | |

| Large Entity | | Small Entity | | Fee Description |
|---------------|---------------|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | |
| 1202 | 10 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 66 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 210 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 66 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 10 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

**For number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 90 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 2053 130 | Non-English specification | |
| 1812 2,520 | 2812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 2804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 2805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 950 | 2253 475 | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 300 | 2403 150 | Request for oral hearing | |
| 1451 1,510 | 2451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| 1400 130 | 2400 130 | Petitions to the Commissioner | |
| 1807 50 | 2807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1808 180 | 2808 180 | Submission of Information Disclosure Sheet | |
| 8021 40 | 28021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | |
| 1802 900 | 2802 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete if applicable)

| | | | | | |
|-------------------|----------------------------|------------------|--|-----------|---------------|
| Name (Print/Type) | George Thomas Usher | Registration No. | | Telephone | (940)321-3994 |
| Signature | <i>George Thomas Usher</i> | Date | | | 2-27-04 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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BEST AVAILABLE COPY

for FY 2004

Effective 10/01/2003 Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (S) \$385.00

| | |
|-----------------------|--------------|
| Filing Date | |
| First Named Inventor | George Usher |
| Examiner Name | |
| Art Unit | |
| Assigned District No. | |

METHOD OF PAYMENT (check one that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit
Account
Number
Deposit
Account
Name

The Director is authorized to collect all of the:

☐ Charge fees as indicated below ☐ Direct bank overpayments☐ Charge any additional fees with any installment payment of fees.☐ Charge fees as indicated below, except for the filing fee to be above identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (S) | Fee Code (S) | Fee Description | Fee Paid |
|--------------|--------------|------------------------|----------|
| 1001 170 | 2001 185 | Initial filing fee | \$385.00 |
| 1002 340 | 2002 170 | Large filing fee | |
| 1003 510 | 2003 295 | Small filing fee | |
| 1004 170 | 2004 185 | Re-issue filing fee | |
| 1006 190 | 2006 90 | Provisional filing fee | |

SUBTOTAL (1) (S) \$385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 1000 200 | 2000 200 | A | |
| 1000 200 | 2000 200 | A | |
| Multiple Dependent | | | |

Large Entity Small Entity

| Fee Code (S) | Fee Code (S) | Fee Description |
|--------------|--------------|--|
| 1200 10 | 2200 0 | Claims in excess of 20 |
| 1201 30 | 2201 45 | Independent claims in excess of 3 |
| 1202 200 | 2202 145 | Multiple dependent claim (first paid) |
| 1204 30 | 2204 45 | ** Reissue independent claims over original patent |
| 1205 10 | 2205 0 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (S)

* The number previously paid. * Greater. For the rules, see 37 CFR 1.201.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (S) | Fee Code (S) | Fee Description | Fee Paid |
|--------------|--------------|--|----------|
| 1001 170 | 2001 185 | Initial filing fee | |
| 1002 340 | 2002 170 | Large filing fee | |
| 1003 510 | 2003 295 | Small filing fee | |
| 1004 170 | 2004 185 | Re-issue filing fee | |
| 1006 190 | 2006 90 | Provisional filing fee | |
| 1200 10 | 2200 0 | Claims in excess of 20 | |
| 1201 30 | 2201 45 | Independent claims in excess of 3 | |
| 1202 200 | 2202 145 | Multiple dependent claim (first paid) | |
| 1204 30 | 2204 45 | ** Reissue independent claims over original patent | |
| 1205 10 | 2205 0 | ** Reissue claims in excess of 20 and over original patent | |
| 1301 100 | 2301 50 | Extension for reply within first month | |
| 1302 420 | 2302 210 | Extension for reply within second month | |
| 1303 360 | 2303 175 | Extension for reply within third month | |
| 1304 1450 | 2304 725 | Extension for reply within fourth month | |
| 1305 5470 | 2305 1000 | Extension for reply within fifth month | |
| 1401 50 | 2401 25 | Fee of Appeal | |
| 1402 350 | 2402 175 | Fee of Appeal (first appeal of an appeal) | |
| 1403 250 | 2403 125 | Request for oral hearing | |
| 1404 1000 | 2404 500 | Reason to reject a claim to be proceeding | |
| 1405 110 | 2405 55 | Reason to reject a claim to be proceeding | |
| 1406 200 | 2406 100 | Reason to reject a claim to be proceeding | |
| 1407 300 | 2407 150 | Reason to reject a claim to be proceeding | |
| 1408 400 | 2408 200 | Reason to reject a claim to be proceeding | |
| 1409 500 | 2409 250 | Reason to reject a claim to be proceeding | |
| 1410 600 | 2410 300 | Reason to reject a claim to be proceeding | |
| 1411 700 | 2411 350 | Reason to reject a claim to be proceeding | |
| 1412 800 | 2412 400 | Reason to reject a claim to be proceeding | |
| 1413 900 | 2413 450 | Reason to reject a claim to be proceeding | |
| 1414 1000 | 2414 500 | Reason to reject a claim to be proceeding | |
| 1415 1100 | 2415 550 | Reason to reject a claim to be proceeding | |
| 1416 1200 | 2416 600 | Reason to reject a claim to be proceeding | |
| 1417 1300 | 2417 650 | Reason to reject a claim to be proceeding | |
| 1418 1400 | 2418 700 | Reason to reject a claim to be proceeding | |
| 1419 1500 | 2419 750 | Reason to reject a claim to be proceeding | |
| 1420 1600 | 2420 800 | Reason to reject a claim to be proceeding | |
| 1421 1700 | 2421 850 | Reason to reject a claim to be proceeding | |
| 1422 1800 | 2422 900 | Reason to reject a claim to be proceeding | |
| 1423 1900 | 2423 950 | Reason to reject a claim to be proceeding | |
| 1424 2000 | 2424 1000 | Reason to reject a claim to be proceeding | |
| 1425 2100 | 2425 1050 | Reason to reject a claim to be proceeding | |
| 1426 2200 | 2426 1100 | Reason to reject a claim to be proceeding | |
| 1427 2300 | 2427 1150 | Reason to reject a claim to be proceeding | |
| 1428 2400 | 2428 1200 | Reason to reject a claim to be proceeding | |
| 1429 2500 | 2429 1250 | Reason to reject a claim to be proceeding | |
| 1430 2600 | 2430 1300 | Reason to reject a claim to be proceeding | |
| 1431 2700 | 2431 1350 | Reason to reject a claim to be proceeding | |
| 1432 2800 | 2432 1400 | Reason to reject a claim to be proceeding | |
| 1433 2900 | 2433 1450 | Reason to reject a claim to be proceeding | |
| 1434 3000 | 2434 1500 | Reason to reject a claim to be proceeding | |
| 1435 3100 | 2435 1550 | Reason to reject a claim to be proceeding | |
| 1436 3200 | 2436 1600 | Reason to reject a claim to be proceeding | |
| 1437 3300 | 2437 1650 | Reason to reject a claim to be proceeding | |
| 1438 3400 | 2438 1700 | Reason to reject a claim to be proceeding | |
| 1439 3500 | 2439 1750 | Reason to reject a claim to be proceeding | |
| 1440 3600 | 2440 1800 | Reason to reject a claim to be proceeding | |
| 1441 3700 | 2441 1850 | Reason to reject a claim to be proceeding | |
| 1442 3800 | 2442 1900 | Reason to reject a claim to be proceeding | |
| 1443 3900 | 2443 1950 | Reason to reject a claim to be proceeding | |
| 1444 4000 | 2444 2000 | Reason to reject a claim to be proceeding | |
| 1445 4100 | 2445 2050 | Reason to reject a claim to be proceeding | |
| 1446 4200 | 2446 2100 | Reason to reject a claim to be proceeding | |
| 1447 4300 | 2447 2150 | Reason to reject a claim to be proceeding | |
| 1448 4400 | 2448 2200 | Reason to reject a claim to be proceeding | |
| 1449 4500 | 2449 2250 | Reason to reject a claim to be proceeding | |
| 1450 4600 | 2450 2300 | Reason to reject a claim to be proceeding | |
| 1451 4700 | 2451 2350 | Reason to reject a claim to be proceeding | |
| 1452 4800 | 2452 2400 | Reason to reject a claim to be proceeding | |
| 1453 4900 | 2453 2450 | Reason to reject a claim to be proceeding | |
| 1454 5000 | 2454 2500 | Reason to reject a claim to be proceeding | |
| 1455 5100 | 2455 2550 | Reason to reject a claim to be proceeding | |
| 1456 5200 | 2456 2600 | Reason to reject a claim to be proceeding | |
| 1457 5300 | 2457 2650 | Reason to reject a claim to be proceeding | |
| 1458 5400 | 2458 2700 | Reason to reject a claim to be proceeding | |
| 1459 5500 | 2459 2750 | Reason to reject a claim to be proceeding | |
| 1460 5600 | 2460 2800 | Reason to reject a claim to be proceeding | |
| 1461 5700 | 2461 2850 | Reason to reject a claim to be proceeding | |
| 1462 5800 | 2462 2900 | Reason to reject a claim to be proceeding | |
| 1463 5900 | 2463 2950 | Reason to reject a claim to be proceeding | |
| 1464 6000 | 2464 3000 | Reason to reject a claim to be proceeding | |
| 1465 6100 | 2465 3050 | Reason to reject a claim to be proceeding | |
| 1466 6200 | 2466 3100 | Reason to reject a claim to be proceeding | |
| 1467 6300 | 2467 3150 | Reason to reject a claim to be proceeding | |
| 1468 6400 | 2468 3200 | Reason to reject a claim to be proceeding | |
| 1469 6500 | 2469 3250 | Reason to reject a claim to be proceeding | |
| 1470 6600 | 2470 3300 | Reason to reject a claim to be proceeding | |
| 1471 6700 | 2471 3350 | Reason to reject a claim to be proceeding | |
| 1472 6800 | 2472 3400 | Reason to reject a claim to be proceeding | |
| 1473 6900 | 2473 3450 | Reason to reject a claim to be proceeding | |
| 1474 7000 | 2474 3500 | Reason to reject a claim to be proceeding | |
| 1475 7100 | 2475 3550 | Reason to reject a claim to be proceeding | |
| 1476 7200 | 2476 3600 | Reason to reject a claim to be proceeding | |
| 1477 7300 | 2477 3650 | Reason to reject a claim to be proceeding | |
| 1478 7400 | 2478 3700 | Reason to reject a claim to be proceeding | |
| 1479 7500 | 2479 3750 | Reason to reject a claim to be proceeding | |
| 1480 7600 | 2480 3800 | Reason to reject a claim to be proceeding | |
| 1481 7700 | 2481 3850 | Reason to reject a claim to be proceeding | |
| 1482 7800 | 2482 3900 | Reason to reject a claim to be proceeding | |
| 1483 7900 | 2483 3950 | Reason to reject a claim to be proceeding | |
| 1484 8000 | 2484 4000 | Reason to reject a claim to be proceeding | |
| 1485 8100 | 2485 4050 | Reason to reject a claim to be proceeding | |
| 1486 8200 | 2486 4100 | Reason to reject a claim to be proceeding | |
| 1487 8300 | 2487 4150 | Reason to reject a claim to be proceeding | |
| 1488 8400 | 2488 4200 | Reason to reject a claim to be proceeding | |
| 1489 8500 | 2489 4250 | Reason to reject a claim to be proceeding | |
| 1490 8600 | 2490 4300 | Reason to reject a claim to be proceeding | |
| 1491 8700 | 2491 4350 | Reason to reject a claim to be proceeding | |
| 1492 8800 | 2492 4400 | Reason to reject a claim to be proceeding | |
| 1493 8900 | 2493 4450 | Reason to reject a claim to be proceeding | |
| 1494 9000 | 2494 4500 | Reason to reject a claim to be proceeding | |
| 1495 9100 | 2495 4550 | Reason to reject a claim to be proceeding | |
| 1496 9200 | 2496 4600 | Reason to reject a claim to be proceeding | |
| 1497 9300 | 2497 4650 | Reason to reject a claim to be proceeding | |
| 1498 9400 | 2498 4700 | Reason to reject a claim to be proceeding | |
| 1499 9500 | 2499 4750 | Reason to reject a claim to be proceeding | |
| 1500 9600 | 2500 4800 | Reason to reject a claim to be proceeding | |

Other fee (optional) (S) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (S)

SUBMITTED BY

| | | | | | |
|-----------------|----------------------------|------------------|---------|-----------|---------------|
| Inventor's Name | George Thomas Usher | Registration No. | | Telephone | (940)321-3994 |
| Signature | <i>George Thomas Usher</i> | Date | 2-27-04 | | |

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